FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *  MCLAUGHLIN JOHN				So	2. Issuer Name and Ticker or Trading Symbol Sonoma Pharmaceuticals, Inc. [ SNOA ]									ionship of Reporting Pe all applicable) Director		rson(s) to Issuer 10% Owner	
(Last)	(First)	,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/29/2022								Officer (give title below)			Other (specify below)	
C/O SONOMA PHARMACEUTICALS, INC. 5445 CONESTOGA COURT, SUITE 150				4. 1	4. If Amendment, Date of Original Filed (Month/Day/Year)							Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person					
(Street) BOULDER	СО		0301	_									Form file	d by More t	than C	ne Reportin	g Person
(City)	(State		zip) able I - Non	Dorivat	ivo S	oouritio	s A or	uirod F	Nien	osod of	f or Bonof	isially Ov	unod				
1. Title of Security (Instr. 3)  2. Trans Date				2. Transacti	saction 2A. Deemed Execution Date,		3. Transact Code (In:	ion	4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4		A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Code (I	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisab		xpiration ate	Title	Amount or Number of Shares		(Instr. 4)			
Stock option (right to buy)	\$1.08	12/29/2022		A		20,000		(1)	1	2/29/2032	Common Stock	20,000	(2)	53,252	2	D	

## **Explanation of Responses:**

- 1. The options vest one third each on 12/29/2023, 12/29/2024 and 12/29/2025, or upon change of control.
- 2. The options were awarded and granted to Mr. McLaughlin for services performed on the Board of Directors as part of the Company's annual grant of stock options.

/s/ Amy Trombly as attorney-in-

12/30/2022

fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.