

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person* Dal Poggetto John (Last) (First) (Middle) 1129 N. MCDOWELL BLVD (Street) PETALUMA, CA 94954 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 09/27/2019	3. Issuer Name and Ticker or Trading Symbol Sonoma Pharmaceuticals, Inc. [SNOA]		
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Financial Officer		5. If Amendment, Date Original Filed(Month/Day/Year)
		6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, \$0.0001 par value per share	115	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (right to buy)	02/25/2010	02/25/2020	Common Stock	223	\$ 576.45	D	
Stock Option (right to buy)	05/17/2011	05/17/2021	Common Stock	286	\$ 595.35	D	
Stock Option (right to buy)	08/24/2015	08/24/2022	Common Stock	96	\$ 292.95	D	
Stock Option (right to buy)	09/19/2016	09/19/2023	Common Stock	238	\$ 133.65	D	
Stock Option (right to buy)	03/04/2017	03/04/2024	Common Stock	1,648	\$ 175.5	D	
Stock Option (right to buy)	08/21/2015	08/21/2025	Common Stock	1,251	\$ 52.2	D	
Stock Option (right to buy)	(1)	08/21/2025	Common Stock	420	\$ 52.2	D	
Stock Option (right to buy)	(2)	04/11/2027	Common Stock	1,334	\$ 61.92	D	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Dal Poggetto John 1129 N. MCDOWELL BLVD PETALUMA, CA 94954			Chief Financial Officer	

Signatures

/s/ John Dal Poggetto	10/15/2019
<small>**Signature of Reporting Person</small>	<small>Date</small>

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest upon the achievement of certain goals.
- (2) Options vest in three equal tranches annually on the grant date anniversary, beginning on April 11, 2018 and becoming fully vested on April 11, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.