

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response...	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Miller Robert E		2. Issuer Name and Ticker or Trading Symbol Sonoma Pharmaceuticals, Inc. [SNOA]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input checked="" type="checkbox"/> Other (specify below) Former CFO	
(Last) (First) (Middle) C/O SONOMA PHARMACEUTICALS, INC., 1129 N. MCDOWELL BLVD.		3. Date of Earliest Transaction (Month/Day/Year) 01/14/2019		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	
(Street) PETALUMA, CA 94954		4. If Amendment, Date Original Filed (Month/Day/Year)			
(City) (State) (Zip)		<b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock								20,800	D	
Common Stock								18,868	I (1)	See Note 1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date					
Stock option (right to buy)	\$ 38.15	01/14/2019		H		5,271	(2) 01/14/2019	Common Stock	5,271	(3)	203,800	D	
Stock option (right to buy)	\$ 68.95	01/14/2019		H		5,357	(2) 01/14/2019	Common Stock	5,357	(4)	198,443	D	
Stock option (right to buy)	\$ 70.35	01/14/2019		H		357	(2) 01/14/2019	Common Stock	357	(5)	198,086	D	
Stock option (right to buy)	\$ 56	01/14/2019		H		5,000	(2) 01/14/2019	Common Stock	5,000	(6)	193,086	D	
Stock option (right to buy)	\$ 43.75	01/14/2019		H		1,785	(2) 01/14/2019	Common Stock	1,785	(7)	191,301	D	
Stock option (right to buy)	\$ 32.55	01/14/2019		H		4,285	(2) 01/14/2019	Common Stock	4,285	(8)	187,016	D	
Stock option (right to buy)	\$ 14.85	01/14/2019		H		5,351	(2) 01/14/2019	Common Stock	5,351	(9)	181,665	D	

Stock option (right to buy)	\$ 19.5	01/14/2019		H		26,020	(2)	01/14/2019	Common Stock	26,020	(10)	155,645	D
Stock option (right to buy)	\$ 5.8	01/14/2019		H		7,912	(2)	01/14/2019	Common Stock	7,912	(11)	147,733	D
Stock option (right to buy)	\$ 4.81	01/14/2019		H		50,000	(2)	01/14/2019	Common Stock	50,000	(12)	97,733	D
Stock option (right to buy)	\$ 7.06	01/14/2019		H		30,000	(2)	01/14/2019	Common Stock	30,000	(13)	67,733	D
Stock option (right to buy)	\$ 2.41	01/14/2019		H		67,733	(2)	01/14/2019	Common Stock	67,733	(14)	0	D

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Miller Robert E C/O SONOMA PHARMACEUTICALS, INC. 1129 N. MCDOWELL BLVD. PETALUMA, CA 94954				Former CFO

## Signatures

/s/ Robert E. Miller	01/15/2019
<small>**Signature of Reporting Person</small>	<small>Date</small>

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The shares are owned by Mr. Miller as trustee for The Miller 2005 Grandchildren's Trust, for which Mr. Miller and his wife, Margaret I. Miller, are the trustees.
- (2) The stock options expired on January 14, 2019 in connection with the Separation and Mutual Release Agreement between the Issuer and Mr. Miller, dated December 13, 2018.
- (3) The stock options were originally granted on March 10, 2009.
- (4) The stock options were originally granted on June 7, 2010 pursuant to the Issuer's 2010 Bonus Plan.
- (5) The stock options were originally granted on March 31, 2011 pursuant to the Issuer's 2010 Bonus Plan in lieu of cash.
- (6) The stock options were originally granted on June 16, 2011 pursuant to the Issuer's 2011 Bonus Plan in lieu of cash.
- (7) The stock options were originally granted on March 7, 2012 pursuant to the Issuer's 2011 Bonus Plan in lieu of cash.
- (8) The stock options were originally granted on August 24, 2012 pursuant to the Issuer's 2012 Bonus Plan in lieu of cash.
- (9) The stock options were originally granted on September 19, 2013 pursuant to the Issuer's 2013 Bonus Plan in lieu of cash.
- (10) The stock options were originally granted on March 4, 2014 as compensation in lieu of cash.
- (11) The stock options were originally granted on August 21, 2015 for the achievement of target milestones in fiscal year ended March 31, 2016.
- (12) The stock options were originally granted on November 30, 2016 as a signing bonus for his employment agreement, dated November 30, 2016.
- (13) The stock options were originally granted on April 3, 2017 in connection with his service as the Issuer's CFO.
- (14) The stock options were originally granted on July 20, 2018 as a bonus for fiscal year 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.