UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | | |
|--------------------------|--------|-----|--|--|--|
| OMB Number: | 3235-0 | 287 | | | |
| Estimated average burden | | | | | |
| nours per response | | | | | |

longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses) | | | | | | | | | | | | | | |
|--|-----------------|--|---|--------------------------------------|--|--------------|--|--|--|---|-------------|--------------------------------------|---|--------------------|
| 1. Name and Address of Reporting Person * Miller Robert E | | | 2. Issuer Name and Ticker or Trading Symbol Sonoma Pharmaceuticals, Inc. [SNOA] | | | | | : | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | |
| (Last) (First) (Middle) C/O SONOMA PHARMACEUTICALS, INC., 1129 N. MCDOWELL BLVD. | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/29/2017 | | | | | | X Officer (give title below) Other (specify below) Chief Financial Officer | | | | | |
| (Street) PETALUMA, CA 94954 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) (State | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | Date | ransaction enth/Day/Year) | 2A. Deemed Execution Date any (Month/Day/Y | , | 3. Transa Code (Instr. 8) | | (A) or I (D) | rities Acq Disposed (| of | Reported Transaction(s) (Instr. 3 and 4) Form: Direct (D) or Indirect (I) | | Ownership Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | Code | V | Amoun | (A) or (D) | Price | | | (Instr. 4) | | |
| Restricted Shares Commo Stock, \$0.0001 par value share (1) | | 29/2017 | | | A | | 4,124 | A | <u>(2)</u> | 20,088 | | | D | |
| Common Stock, \$0.0001 per share | par | | | | | | | | | 8,464 | | | I | See Note (3) |
| Reminder: Report on a separa indirectly. | te line for eac | h class of secu | rities beneficial | ly ov | wned dire | ctly or | r | | | | | | | |
| | | | | | | conta | ained ir | this for | m are | e not req | uired to re | formation spond uni itrol numb | less | EC 1474 (9- 02) |
| | | | erivative Secu | | | | | | | ly Owned | l | | | |
| Title of 2. 3. Transaction 3A. Deemed 4. 5. Number 6. erivative Conversion Date Execution Date, if Transaction of an | | and Expiration Date (Month/Day/Year) Am Un- Sec | | 7. Ti Amo Und Secu (Inst | Fitle and nount of derlying curities str. 3 and 8. Price of Derivative Security (Instr. 5) | | Derivative Securities Beneficially Owned Following Reported | Owners Form of Derivati Security Direct (or Indire | Ownership (Instr. 4) | | | | | |
| | | | Code | V | (A) (D) | Date Exer | | Expiration Date | ¹ Title | Amount or Number of Shares | | | | |
| Reporting Own | ers | | | | | | | | | | | | | |

| Power Control Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| Reporting Owner Name / Address | | 10% Owner | Officer | Other | | | |
| Miller Robert E C/O SONOMA PHARMACEUTICALS, INC. 1129 N. MCDOWELL BLVD. PETALUMA, CA 94954 | | | Chief Financial Officer | | | | |

Signatures

| /s/ Robert E. Miller | 06/30/2017 |
|-------------------------------|------------|
| Signature of Reporting Person | Date |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). The restricted shares will vest in equal increments of one-third over two years, e.g. one-third vests immediately on the date of the grant, one-third vests on the first

- (1) anniversary of the date of the grant, or June 29, 2018, and the remaining one-third vests on the second anniversary of the date of the grant, or June 29, 2019.
- (2) The restricted shares were granted by the Issuer as a performance bonus award pursuant to the FY2017 Bonus Plan, based on the closing price of the Issuer's common stock of \$6.94 on June 29, 2017.
- (3) These shares are owned by Mr. Miller as trustee for The Miller 2005 Grandchildren's Trust, for which Mr. Miller and his wife, Margaret I. Miller, are the trustees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.