FORM	4
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Check this box if no
longer subject to
Section 16. Form 4 or
Form 5 obligations
may continue. See
Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)										
1. Name and Address of Reporting Persor Schutz James J	2. Issuer Name <b>and</b> Ticker or Trading Symbol Sonoma Pharmaceuticals, Inc. [SNOA]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X. Director 10% Owner			
(Last) (First) 1129 N. MCDOWELL BLVD.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/03/2017						X_Officer (give title below) Other (specify below) Chief Executive Officer		
(Street) PETALUMA, CA 94954	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State)	(Zip)	Т	able I - Nor	1-Der	ivative S	ecurities	Acqu	ired, Disposed of, or Beneficially Ov	vned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if Code (A) or Disposed of (D)				of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form:	7. Nature of Indirect Beneficial	
		(Month/Day/Year)	Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly of	or indirectly.		
	Persons who re	spond to the collection of information	SEC 1474 (9-02)
	contained in thi	s form are not required to respond unless the	
	form displays a	currently valid OMB control number.	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of	2.	3. Transaction	3A. Deemed	4.		5. Numb	er	6. Date Exe	rcisable and	7. Title and	l	8. Price of	9. Number of	10.	11. Nature
Derivative	Conversion	Date	Execution Date, if	Transact	ion	of	of Expiration Date A		Amount of Deriv		Derivative	Derivative	Ownership	of Indirect	
Security	or Exercise	(Month/Day/Year)	any	Code		Derivativ	ve	(Month/Day	/Year)	Underlying Securit		Security	Securities	Form of	Beneficial
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	)	Securitie	Securities		Securities (Instr. 5)		(Instr. 5)	Beneficially	Derivative	Ownership	
	Derivative					Acquired	•		(		Owned	Security:	(Instr. 4)		
	Security					(A) or							0	Direct (D)	
						Disposed	d of						1	or Indirect	
						(D)							Transaction(s)	· /	
						(Instr. 3,						(Instr. 4)	(Instr. 4)		
						and 5)									
											Amount				
								Date	Expiration		or				
								Exercisable			Number				
				Code	v	(A)	m				of Shares				
				Coue	v	(A)	(D)				Shares				
Stock															
option	\$ 7.06	04/03/2017		А		30,000		<u>(1)</u>	04/03/2027	Common Stock	30.000	(2)	133,658	D	
(right to	\$ 7.00	04/03/2017		л		30,000		<u></u>	04/03/2027	Stock	30,000	<u>121</u>	155,058	D	
buy)															

## **Reporting Owners**

Demosting Open an Name ( Address	Relationships								
Reporting Owner Name / Address	Director 10% Owner		Officer	Other					
Schutz James J 1129 N. MCDOWELL BLVD. PETALUMA, CA 94954	Х		Chief Executive Officer						

### Signatures

/s/ Robert Miller as attorney in fact	04/05/2017
**Signature of Reporting Person	Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock options vest in three years with the first one-third vesting on the first anniversary of the date of the grant, the second one-third vesting on the second anniversary of the date of the grant.

(2) The stock options were granted in connection with Mr. Schutz's service as the Issuer's Chief Executive Officer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.